

MARÍA DE LOS ANGELES FLORES JIMÉNEZ

Compliance, Integrity & Risk Management Specialist

Lago Mask 205 - 514. Col. Los Manzanos. CDMX. C.P. 11460

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• [LinkedIn](#)

MBA & Bachelor in Accounting, with over 15 years of experience as Specialist in areas of Compliance, Integrity, Anti-Corruption & Anti-Fraud, AML, Risk Management, Compliance Investigation, Corporate governance, Internal Control, SOX, Internal Audit, Controlling and Financial Reporting, mainly in the Automotive, Oil and Gas, Technology and Chemical/ Pharmaceutical industry. A committed team player with high sense of responsibility and ability to communicate effectively at all levels of an organization. Leadership, negotiation and problem solving skills.

Audi México

March 2019 - currently

Compliance and Integrity Specialist

Supporting the Compliance Officer (as PM) for the implementation of the Compliance Management System (CMS) including the Integrity, Anti-corruption and Anti-fraud programs, participation in WBS plausibility checks on Compliance reports (investigation process), Donation and Gratuities, Compliance risks (ethics and legal), regulatory alignment and implementation of local Compliance regulations and policies, Consultation process, BPDD, Compliance Trainings Strategy, Code of Conduct, Sustainability and Human Rights, Integrity & Compliance Risk assessment (ICRA), KPIs reporting to Management.

Main Accomplishments:

- I coordinated the enactment of 8 Compliance regulatory documents, including the Code of Conduct, according to the group requirements, for Audi México and Audi Regional Office, that complied with the CMS.
 - I implemented the Strategic Compliance training concept that contained around 7 mandatory trainings for more than 5,000 employees.
 - I set the CMS to the end of prepare the organization for the upcoming audits participating in the 70% of the points and obtaining a green flag in the last external audit.
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MGI Asistencia Integral (Subsidiary of Pemex)

February 2018 - February 2019

Compliance and ICS supervisor

Implementation of the ICS as well as regulations for the Compliance System, in accordance with the PEMEX's standards for subsidiaries.

SIEMENS (Kelly Services)

November 2013 - January 2018

Compliance specialist

I steered the Compliance Office in investigative task for possible violations to the Business Conduct as well coordinated of the local disciplinary process in order to achieve adequate, fair and impartial response to non-compliant behaviour. I implemented the AML and Data Privacy Programs, including Compliance trainings for AML, Code of Conduct and Compliance & Integrity for managers.

Main Accomplishments:

- I improved the investigation process and reporting of investigation, local documentation, KPIs and statistics.
 - I implemented successfully the Siemens Data Privacy System and AML program.
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Bayer de México

February 2007- July 2013

Internal Auditor Sr.

August 2012 - July 2013

Supporting the annual audit planning process; identifying potential business process/internal control improvements; issuing recommendations for process optimization; and supporting Compliance Officer in investigative activities for possible deviations.

Main Accomplishment:

- I detected a misstatement in Financial disclosure for 34.4 Mio MXN from a revenue recognition deviation, and promoted a business model change that complied with IFRS.

Internal Control System Manager

June 2009 - July 2012

Ensuring effectiveness and efficiency of the Internal Control System as well as monitoring timely execution of control assessments, content, quality and availability of evidence, implementing Internal Control standards, directives, guidelines and standard operating procedures, identifying training needs. Likewise, defining recommendations to optimize quality of processes and tracking of action plans for remediation and mitigation of audit findings.

Main Accomplishments:

- I obtained 3 years of local Internal Control System attestation with green flag, issued by external auditor and without material findings detected regarding Internal Control deficiencies.
- I achieved the harmonization of the process of the 4 business units and central support areas, according to the global standards for Bayer companies based on SAP system.
- I implemented controls for the SAP system validation in role design and users' access assignment.

Financial Reporting Analyst

February 2007 - May 2009

Controlling of provisions and accruals; supporting to the Financial Manager in the monthly and annual financial reporting and supporting the annual budgeting process, according with IFRS and specific financial standards of the Bayer Group.

Main Accomplishment:

- I designed a financial information letter about the handling of provisions and accruals detailed at Business Unit level, improving the management information system in the company.

Schering Mexicana

February 2004 - January 2007

Internal Control Analyst and Controlling assistant

Implementation of MAIC project (Management Assessment of Internal Control) for the Internal Control self-assessment in the regulatory framework of the SOX act., mapping the business processes with financial impact and identifying activities, risks and controls according with the COSO framework. Reporting annual self-assessment results and implementing corrective actions in areas identified as non-effective. Responsible for the preparation of the Financial Statements by segment for the Transfer Pricing functional analysis; and responsible for financial reporting for internal purposes.

Main Accomplishments:

- I implemented the COSO framework model for all business areas, documenting and evaluating more than 40 processes.
- I obtained 3 annual attestations of Internal Control with green flag certified by the external auditor.

Intersoftware

February 1999 - January 2002

Accountant Analyst

I was responsible for the general ledger, accounts payable, accounts receivable, payroll and tax calculation.

Main Accomplishment:

- Foster the adherence to accounting standards and financial reporting within 5 days after closing (standard of world class companies).

Academic Information

Instituto Politécnico Nacional - ESCA

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| • Master in Business Administration | Certificate | 2004-2006 |
| • Accountant | Bachelor | 1995-1999 |
| • Management skills development | Diploma | 1999 |

Languages

- English – Business
- Spanish – Native speaker
- German – Basic

Software

- MS Office, Visio, Project, IBM-Open Pages, VB programming, SAP modules FI CO and MM and EDMS

Certifications / Courses

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|---|---------------------------------|
| • COSO-COBIT in the framework of SOX | American Management Association |
| • Management skills development | American Management Association |